

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION**UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)****BOX PCT****COMPLETION
For PCT Cases Only****Attn: Application Division**

In this PATENT APPLICATION of

Inventor(s): BERGMANN et al.

Appln. No.: 09/ 381,032

Series Code

Serial No.

Atty. Dkt. PM

263260

M#

2769US AS/KM

Client Ref

National Phase Field

Based on PCT EP99 00159

Country Code & Year

Title: RECEPTOR BINDING ASSAYS FOR THE DETECTION OF
TSH RECEPTOR AUTOANTIBODIES AND REAGENTS AND
REAGENT KIT FOR CARRYING OUT SUCH A RECEPTOR
BINDING ASSAY

Date: November 12, 1999

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL PHASE CASE

Asst. Commissioner of Patents
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☐ copy attached ☒ not yet received
2. ☒ **Signed Declaration** ☐ Original ☒ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
 - a. ☐ Request;
 - b. ☐ Abstract
 - c. ___ pgs. Spec. and Claims;
 - d. ☐ Translation verification
 - e. ___ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached 11/7/1999 00000028 09381032
 - a. ☐ plus Annex of family members (___ page(s))
5. **Information Disclosure Statement** including
 - a. ☐ Form PTO-1449 listing documents
 - b. ☐ Copies of document(s) listed on Form PTO-1449
 - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. ___ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: ___ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

01 FC:254
02 FC:96965.00 OP
130.00 OP

11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item
☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☐ previously paid ☒ paid herewith as follows:
- 15A. Small Entity Statement ☒ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	15	minus 20 =	0	x \$18/\$9	+	966/967
17. Independent Claims	2	minus 3 =	0	x \$78/\$39	+	964/965
18. If any proper multiple dependent claim (ignore improper) is present,				\$260/\$130	+130	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+65	154/254
20. SUBTOTAL					\$195	
21. Original due date:						
22. Petition is hereby made to extend the original due date to				(1 mo) \$110/\$55 =	+	115/215
cover the date this response is filed for which the requisite fee				(2mos) \$380/\$190 =		116/216
is attached				(3mos) \$870/\$435 =		117/217
				(4mos) \$1360/\$680 =		118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$	+	139
24. If "assignment" box 6 is X'd, add recording fee.				\$40	+40	581
25. TOTAL FEE ENCLOSED =					\$235	

(Our Deposit Account No. 03-3975)

(Our Order No. 11377

263260

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

1100 New York Avenue, N.W.
 Ninth Floor East Tower
 Washington, D.C. 20005-3918
 Tel: (202) 861-3000
 PNK/ded

Pillsbury Madison & Sutro LLP
 Intellectual Property Group

By: Atty: Paul N. Kokulis

Sig:

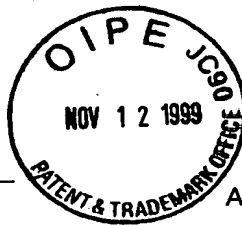
Reg. No. 16773

Fax: (202) 822-0944
 Tel: (202) 861-3503

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

520 Rec'd PCT/PTO 12 NOV 1999
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT (application) of
Inventor(s): BERGMANN et al.



Appln. No.: 09 | 381,032
Series Code ↑ Serial No.

Atty. Dkt PM 263260 | 2769US AS/KM
M# Client Ref

Filed: September 15, 1999

Group Art Unit : Unknown

Patent No.
Issued:

Examiner: Unknown

Allowed (date):

Title: RECEPTOR BINDING ASSAYS FOR THE DETECTION
OF TSH RECEPTOR AUTOANTIBODIES AND
REAGENTS AND REAGENT KIT FOR ...

Issue Batch No.:

Date: November 12, 1999

Asst. Commissioner of Patents
Washington, D.C. 20231

Attention: Refund Section,
Accounting Division,
Office of Finance

SMALL ENTITY CLAIM AND REFUND REQUEST

Sir:

Since the ☒ filing fee ☐ issue fee ☐ maintenance fee ☐ other fee:
was paid within the last two months*, i.e., on September 15, 1999, please refund one-half of that fee by
crediting \$420.00 to our Account/Order Nos. shown below on the basis of the 1 (No.) Small Entity
Statement(s) attached hereto.

(Our Deposit Account No. 03-3975)

(Our Order No. 11377 | 263260
C# M#

Respectfully submitted,

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, N.W.
Ninth Floor East Tower
Washington, D.C. 20005-3918
Tel: (202) 861-3000

By: Atty: Paul N. Kokulis

Reg. No. 16773

Sig: [Signature]

Fax: (202) 822-0944

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Enclosures
Atty/Sec: PNK/ded

*Two months is not extendible!
(PTO Rule 28(a))

Note: File this sheet in
duplicate with PTO
receipt (PAT-103A)